

SEP 10 2001

Sheet 1 of 6

Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
07039-129002Application No.
09/865,785**Information Disclosure Statement
by Applicant**

(Use several sheets if necessary)

(37 CFR §1.98(b))

Applicant
Jens PonikauFiling Date
May 25, 2001Group Art Unit
1614

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U.S. Patent Documents

Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
W	AA	3,929,992	12/30/75	Sehgal et al.	424	122	
	AB	4,209,447	06/24/80	Heeres	260	340.9R	
	AC	4,402,957	09/06/83	Heeres et al.	424	250	
	AD	4,432,991	02/21/84	Dusza et al.	424	273 P	
	AE	4,334,538	06/15/82	Juhn			
	AF	4,883,785	11/28/89	Chow et al.			
	AG	4,916,134	04/10/90	Heeres et al.	514	252	
	AH	5,075,309	12/24/91	Heeres et al.	514	252	
	AI	5,080,899	01/14/92	Sturm et al.	424	122	
	AJ	5,248,504	09/28/93	Friedman	424	434	
	AK	5,310,545	05/1994	Eisen	424	49	
	AL	5,441,977	08/15/95	Russo et al.	514	411	
	AM	5,582,167	12/10/96	Joseph			
	AN	5,654,293	08/05/97	Francois et al.	514	171	
	AO	5,658,881	08/19/97	Gelland et al.	514	11	
W	AP	5,707,975	01/13/98	Francois et al.	514	58	

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
W	AQ	WO 95/08993	04/06/95	PCT	—	—		
W	AR	WO 97/03651	02/06/97	PCT	—	—		
W	AS	WO 97/17078	05/1997	PCT	—	—		

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
W	AT	Adam et al., <u>Medicine</u> , 1986, 65(4):203-217
W	AU	Akiyama et al., <u>Allergy</u> , 1996, 51(12):887-892
W	AV	Allphin et al., <u>Laryngoscope</u> , 1991, 101(8):815-820

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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Disclosure Form (PTO-1449)

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Information Disclosure Statement by Applicant (Use several sheets if necessary.) (37 CFR §1.98(b))		Applicant Jens Ponikau	
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W	AW	Arrese et al., <u>J. Med. Vet. Mycol.</u> , 1995, 33(2):127-130
	AX	Bartynski et al., <u>Otolaryngol. Head Neck Surg.</u> , 1990, 103:32-39
	AY	Bassiouny et al., <u>J. Laryngol. Otol.</u> , 1982, 96:215-228
	AZ	Baumjohann et al., <u>TW Gynakologie</u> , 1999, 4:400-402
	AAA	Becelli et al., <u>Niverva Stomatologica</u> , 1995, 44:171-174
	ABB	Bent et al., <u>Allergy and Asthma Proc.</u> , 1996, 17(5):259-268
	ACC	Bent and Kuhn, <u>Otolaryngol. Head Neck Surg.</u> , 1994, 111:580-588
	ADD	Bent and Kuhn, <u>Laryngoscope</u> , 1996, 106:1331-1334
	AEE	Berman et al., <u>J. Allergy Clin. Immunol.</u> , 1974, 53(5):311-317
	AFF	Berrettini et al., <u>Acta Otorhinolaryngol. Ital.</u> , 1996, 16:447-454
	AGG	Birnbaum et al., <u>J. Am. Acad. Dermatol.</u> , 1990, 23(4, Part 2):782-785
	AHH	Black, <u>Proc. Roy. Soc. Med.</u> , 1960, 53:974-975
	AII	Breuer et al., <u>Digestive Diseases and Sciences</u> , 1991, 36(2):185-187
	AJJ	Bullock et al., <u>Am. J. Ophthalmology</u> , 1974, 78(5):811-815
	AKK	Busch, <u>Arch. Intern. Med.</u> , 1994, 154(7):815-819
	ALL	"Cases of Pulmonary Mycosis in Compromised Hosts" 1995
	AMM	Cayton et al., <u>The Lancet</u> , 1974, pp. 303-307
	ANN	Chakrabarti et al., <u>Advances in Medical Mycology</u> , 1997, 2:39-59
	AOO	Chapman et al., <u>Gut</u> , 1994, 35(1):73-76
	APP	Clark et al., <u>J. Infection</u> , 1996, 32:147-150
	AQQ	Cody et al., <u>Laryngoscope</u> , 1994, 104:1074-1079
	ARR	Cody et al., <u>Rhinologic Diagnosis and Treatment</u> , Chapter 15, pp. 317-333 1995
	ASS	Cohen, <u>Ann. Otol. Rhinol. Laryngol.</u> , 1990, 99:427-431
	ATT	"Combining Hydrocortisone with an Antifungal on the Skin," <u>Drug and Therapeutics Bulletin</u> , 1985, 23(21):83-84
	AUU	Corey et al., <u>Otolaryngol. Head Neck Surg.</u> , 1995, 113:110-119
	AVV	Costa et al., <u>JAMA</u> , 1997, 278(22):1815-1822
W	AWW	Cox et al., <u>J. Clin. Microbiol.</u> , 1994, 32(9):2301-2304

Examiner Signature W - W. W. W.	Date Considered 10-4-01
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Examiner Initial	Desig. ID	Document
W	AXX	Cross, <u>Chest</u> , 1995, 108:599-601
	AYY	De Carpentier et al., <u>J. Laryngol. Otol.</u> , 1994, 314-318
	AZZ	De Guadamar et al., <u>Ann. Oto-Laryng. (Paris)</u> , 1993, 110:198-202
	AAAA	Degreef, <u>Annales de Dermatologie et de Venerologie</u> , 1993, 120:21-31
	ABBB	Demaerel et al., <u>British J. Radiol.</u> , 1993, 66:260-263
	ACCC	Denning et al., <u>Chest</u> , 1991, 100:813-819
	ADDD	deShazo and Swain, <u>J. Allergy Clin. Immunol.</u> , 1995, 96:24-35
	AEEE	deShazo et al., <u>J. Allergy Clin. Immunol.</u> , 1997, 99(4):475-485
	AFFF	deShazo et al., <u>New England J. Med.</u> , 1997, 337(4):254-259
	AGGG	Dhindsa et al., <u>J. Med. Vet. Mycol.</u> , 1995, 33:59-61
	AHHH	Diehl, <u>Am. Fam. Physician</u> , 1996, 54(5):1687-1692
	AIII	Dubois et al., <u>Chest</u> , 1995, 108:750-753
	AJJJ	Dunand et al., <u>Clin. Infect. Diseases</u> , 1997, 25:267-272
	AKKK	Edwards et al., <u>Lancet</u> , 1964, 1:1349-1353
	ALLL	Ence et al., <u>Am. J. Rhinology</u> , 1990, 4(5):169-178
	AMMM	Falser, <u>Dermatologica</u> , 1984, 169(1):135-140
	ANNN	Farquhar et al., <u>Scott Med. J.</u> , 1984, 29:192-193
	AOOO	Favre et al., <u>Antimicrobial Agents and Chemotherapy</u> , 1996, 40(2):443-447
	APPP	Feger et al., <u>Annals of Allergy, Asthma & Immunology</u> , 1997, 79:221-225
	AQQQ	Fournier, <u>Thorax</u> , 1987, 42:831
	ARRR	Friedman et al., <u>Am. J. Clin. Pathol.</u> , 1991, 96:368-372
	ASSS	Germaud and Tuchais, <u>Chest</u> , 1995, 107:883
	ATTT	Goldstein, <u>Hospital Practice</u> , 1992, pp. 73-74, 79-81, 83-84, 87-88, 91-92
	AUUU	Haneke, <u>Z. Hautkr.</u> , 1982, 57(16):1165-1176
	AVVV	Haria et al., <u>Drugs</u> , 1996, 51(4):585-620
	AWWW	Harig et al., <u>New England J. Med.</u> , 1989, 320(1):23-28
W	AXXX	Harlin et al., <u>J. Allergy Clin. Immunol.</u> , 1988, 81(5, Part 1):867-875

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KW	AYYY	Heier et al., <u>Ophthalmology</u> , 1995, 102(5):713-717
	AZZZ	Horner et al., <u>Clin. Microbial. Rev.</u> , 1995, 8(2):161-179
	AAAAA	Hussain et al., <u>J. Pharm. Sci.</u> , 1984, 73(7):1300-1301
	ABBBB	Ismail et al., <u>Arch. Intern. Med.</u> , 1993, 153:1604-1606
	ACCCC	Jacobson et al., <u>J. Clin. Neuro-ophthalmol.</u> , 1992, 12(4):250-256
	ADDDD	Jonathan et al., <u>J. Laryngol. Otol.</u> , 1989, 103:1181-1183
	AEEEE	Kaliner et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 11(6, Part 2):S1-S20
	AFFFF	Katzenstein et al., <u>J. Allergy Clin. Immunol.</u> , 1983, 72(1):89-93
	AGGGG	Kilburn, "The Innocuousness and Possible Therapeutic Use of Aerosol Amphotericin-B," U.S. Army Medical Research & Nutrition Laboratory, Fitzsimmons Army Hospital, Denver, Colorado, 1959, pp. 441-442
	AHHHH	Kinsella et al., <u>Clin. Otolaryngol.</u> , 1996, 21:389-392
	AIIII	Kintzel et al., <u>Ann. Pharmacother.</u> , 1994, 28(3):333-335
	AJJJJ	Kudo et al., <u>Kekkaku (Japan)</u> , 1997, 72(2):31-38
	AKKKK	Kupferberg et al., <u>Arch. Otolaryngol. Head Neck Surg.</u> , 1996, 122:1381-1384
	ALLLL	Kupferberg et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 117:35-41
	AMMMM	LeBeau et al., <u>Mycoses</u> , 1994, 37:171-179
	ANNNN	Lemanske et al., <u>JAMA</u> , 1997, 278(2):1855-1873
	AOOOO	Mabry et al., <u>Otolaryngol. Head Neck Surg.</u> , 1995, 113(6):721-723
	APPPP	Mabry et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 116(1):31-35
	AQQQQ	Macmillan et al., <u>Hum. Pathol.</u> , 1987, 18:960-964
	ARRRR	Manning et al., <u>Arch. Otolaryngol. Head Neck Surg.</u> , 1991, 117:174-178
	ASSSS	Marriott et al., <u>Dermatologica</u> , 1983, 166(Suppl. 1):1-7
	ATTTT	Maskin et al., <u>Ophthalmology</u> , 1989, 96:175-179
	AUUUU	Mathews et al., <u>Veterinary Surgery</u> , 1996, 25:309-319
	AVVVV	McKendrick et al., <u>Lancet</u> , 1958, pp. 621-622
	AWWWW	McKendrick and Medlock, <u>Lancet</u> , 1958, pp. 1229-1230
KW	AXXXX	Meyer et al., <u>Medicine</u> , 1994, 73(2):69-78

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ICW	AYYYY	Morgan et al., <u>Am. J. Clin. Pathol.</u> , 1984, 82(5):597-601
	AZZZZ	Morpeth et al., <u>Annals of Allergy, Asthma & Immunology</u> , 1996, 76:128-136, 139-140
	AAAAA	Muntz et al., <u>Otolaryngologic Clinics of North America</u> , 1996, 29(1):185-192
	ABBBB	Negroni et al., <u>Arch. Med. Res.</u> , 1993, 24(4):387-393
	ACCCC	Nicolau et al., <u>Ann. Pharmacother.</u> , 1995, 29:994-996
	ADDDD	Nishioka et al., <u>Otolaryngol. Head Neck Surg.</u> , 1994, 110(6):494-500
	AEEEE	Nolting et al., <u>Fortschritte der Medizin</u> , 1998, 115:22-28
	AFFFF	Nouri, <u>Laryngologie Rhinologie Otolologie</u> , 1986, 65(8):420-422
	AGGGG	Ogawa, <u>Japanese Society for Tuberculosis</u> , (Kekkaku), 1997, 72(2):59-64
	AHHHH	Pasha et al., <u>Gastroenterology</u> , 1997, 112:250-254
	AIIII	Philip and Keen, <u>Brief Report</u> , 1988, 14(2):222-224
	AJJJJ	Phillips et al., <u>Otolaryngol. Head Neck Surg.</u> , 1987, 96(6):577-579
	AKKKK	Piantoni et al., <u>Clin. Ter.</u> , 1989, 130:23-27
	ALLLL	Pingree et al., <u>Otolaryngol. Head Neck Surg.</u> , 1992, 106(3):302-305
	MMMM	Ponikau et al., "Chronic sinusitis/polypoid secondary to fungal organisms," Submitted to 43 rd Annual Meeting of the American Rhinologic Society, September 6, 1997, San Francisco, California
	ANNNN	Purcell and Corris, <u>Thorax</u> , 1995, 50:1321-1323
	AOOOO	Quraishi et al., <u>Otolaryngol. Head Neck Surg.</u> , 1997, 117:29-34
	APPPPP	Ramos et al., "Oral administration of short-chain fatty acids reduce the intestinal mucositis caused by treatment with Ara-C in mice fed commercial or elemental diets," 1997, Abstract
	AQQQQ	Ramos et al., <u>Nutrition and Cancer</u> , 1997, 28(2):212-217
	ARRRR	Robb, <u>J. Laryngology and Otolology</u> , 1986, 100:1071-1077
	ASSSS	Robson et al., <u>Aust. NZ J. Med.</u> , 1989, 19:351-353
	ATTTT	Roithmann et al., <u>Rhinology</u> , 1995, 33:104-110
	AUUUU	Rolston et al., <u>Reviews of Infectious Diseases</u> , 1985, 7(4):525-529
	AVVVV	Roth, <u>Ear, Nose & Throat J.</u> , 1994, 73:928-930
	WWWWW	Sait et al., <u>Digestive Diseases</u> , 1970, 15:993-1002
	AXXXX	Schaffner, <u>Schweiz. Med. Wschr.</u> , 1991, 121:1413-1418
W	AYYYY	Schubert et al., <u>Z. Hautkr.</u> , 1984, 59(24):1681-1682, 1685-1686

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W	ZZZZZ	Schwartz, <u>Annals of Allergy, Asthma & Immunology</u> , 1996, 77:500-502
	AAAAA	Schwietz et al., <u>Allergy Proc.</u> , 1992, 13(1):3-6
	BBBBB	Shale et al., <u>Thorax</u> , 1987, 42:26-31
	CCCCC	Shen et al., <u>Clin. Exp. Allergy</u> , 1996, 26(4):444-451
	DDDDD	Slavin et al., <u>J. Allergy</u> , 1970, 46(3):150-155
	EEEEEE	Slavin, <u>JAMA</u> , 1997, 278(22):1849-1854
	FFFFFF	Soyinka, <u>Curr. Med. Res. Opin.</u> , 1987, 10(6):390-396
	GGGGG	Stark, <u>Diseases of the Chest</u> , 1967, 51(1):96-99
	HHHHH	Stettendorf, <u>Arzneimittel Forschung (Drug Research)</u> , 1983, 33(5):750-754
	IIIIII	Stool, <u>AFP</u> , 1985, 32(6):101-107
	JJJJJJ	Swift et al., <u>J. Laryngology and Otology</u> , 1998, 112:92-97
	KKKKK	Tariq et al., <u>Clin. Exp. Allergy</u> , 1996, 26(7):794-798
	LLLLLL	Tiwari et al., <u>Mycoses</u> , 1995, 38:297-300
	MMMMM	Torres et al., <u>Hum. Pathol.</u> , 1996, 27(8):793-799
	NNNNN	Tosti et al., <u>Pediatric Dermatology</u> , 1997, 14(2):146-148
	OOOOO	Travis et al., <u>Hum. Pathol.</u> , 1991, 22(12):1240-1248
	PPPPPP	Tsushima et al., <u>Internal Medicine</u> , 1996, 35(9):736-741
	QQQQQ	Urakami et al., "Clinical Features of Eight Cases of Opportunistic fungal Pneumonias," Fourth Department of Internal Medicine, Kinki University School of Medicine, Osakasayama, Japan
	RRRRR	Van Cutsem <u>Mycoses</u> , 1989, 32(1):14-34
	SSSSS	Vanden Bossche et al., <u>Am. J. Obstet. Gynecol.</u> , 1991, 165(4, Part 2):1193-1199
	TTTTT	Waitzman et al., <u>J. Otolaryngol.</u> , 1994, 23(4):244-249
	UUUUU	Washburn et al., <u>Medicine</u> , 1988, 67(4):231-247
	VVVVV	Waxman et al., <u>Laryngoscope</u> , 1987, 97:261-266
	WWW	Wengrower et al., <u>Respiration</u> , 1985, 47:209-213
W	XXXXX	Zieske et al., <u>Otolaryngol. Head Neck Surg.</u> , 1991, 105(4):567-577

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